

SECTION IV

APPENDICES



APPENDIX A - LONG RANGE PLAN

In April 1994, the United States Bankruptcy Court for the Central District of California issued its first Long Range Plan. It took more than a year for the Court to develop, discuss, and finalize the Plan. The result of this effort was a large, comprehensive, and detailed document that contained hundreds of goals, objectives, and issues.

In the almost four years since implementation, the Court has accomplished many of the goals and objectives set out in the Plan. An accounting of the Court's efforts to implement the plan is found in the Annual Reports of the Court for the years 1994 through 1997. Despite this progress, the Court believes that the planning process is one that never ends. Hence, the Court completed a significant revision and update of the Plan that became effective in April of 1998. While the basic structure of the Plan remains, the 1998 iteration differs significantly in the following ways: (1) the Court will focus its energies primarily in the case management area; and (2) issues have been either deleted as superfluous or modified to reflect more accurately their nature as aspirational rather than operational issues.

In addition, the Court has assigned the highest priority to the following objectives:

- CM2E:** **Convert to one uniform automated case management system for the entire District.**
- CM2B:** **Determine the feasibility of, and develop an approach for, creating a "paperless" Court through the use of an electronic case filing system.**
- CM4C:** **Review and determine the feasibility and desirability of accepting filings by fax.**
- CM4B:** **Implement an electronic files system within the Court to make documents available on-line to all interested parties.**
- CR3D:** **Initiate and maintain a regular liaison with local members of Congress.**
- CR4A:** **Create and staff an ombudsperson position in each Division to assist the public with legal or procedural questions that the Clerk and his staff are prohibited from answering.**
- CR4B:** **Establish a *pro bono* program at each Divisional Office location.**

LEADERSHIP

GOAL/OBJECTIVE - LONG RANGE PLAN		ACCOMPLISHED DURING 1998
GOAL LD: LEADERSHIP		
LD1	Enhance leadership skills throughout the Court.	Ongoing
LD2	Increase effectiveness of the Court's communication and working relationships with other federal courts, agencies, and Congress.	Ongoing
LD3	Improve communication and relations with state courts and legislative branches.	Ongoing
LD4	Initiate and formalize cooperative efforts with professional organizations and groups.	Ongoing

ETHICS AND STANDARDS OF CONDUCT

GOAL/OBJECTIVE - LONG RANGE PLAN		ACCOMPLISHED DURING 1998
GOAL ES: ETHICS AND STANDARDS OF CONDUCT		
ES1	Provide an impartial Court environment to all users.	Ongoing
ES2	Foster a workplace free of bias.	Ongoing
ES3	Foster a courtroom environment free of bias.	Ongoing
ES4	Foster civility within the courtroom environment.	Ongoing

CASE MANAGEMENT

GOAL/OBJECTIVE - LONG RANGE PLAN		ACCOMPLISHED DURING 1998
GOAL CM1: CASE MANAGEMENT Maximize the Courts efficiency in case processing, while maintaining or improving quality and accuracy.		
Short Term Objectives		
CM1A	Institute ongoing communication among judges, judicial staff, and Clerk's Office regarding expectations, progress, and case processing performance.	Ongoing
Long Term Objectives		
CM1B	Develop and implement District-wide quality control program to monitor and evaluate case management functions.	Ongoing
CM1C	Develop and implement a fully automated and integrated bankruptcy fiscal system.	Ongoing
GOAL CM2: CASE MANAGEMENT Reduce delay in all phases of case processing.		
Long Term Objectives		
CM2A	Expand and enhance automated docketing.	Ongoing
CM2B	Determine the feasibility of, and develop an approach for, creating a "paperless" Court through the use of an electronic case filing system.	
CM2C	Develop and implement "file anywhere, anytime" policy.	
CM2D	Develop and implement "Windows-based" case management system.	
Ongoing Objectives		
CM2E	Convert to one uniform automated case management system for the entire District.	Completed
CM2F	Review and evaluate performance of all case processing functions: opening, docketing, noticing, filing, calendaring, handling correspondence, conforming copies, recording proceedings, retrieval of and routing files to judges, and closing.	Initiated
CM2G	Eliminate or reduce redundancies and delay points in the processing of cases.	Ongoing

GOAL CM3: CASE MANAGEMENT Improve efficiency in calendar management for the Bench and Bar.		
Short Term Objectives		
CM3A	Implement court-wide, uniform self-scheduling system.	Ongoing
Long Term Objectives		
CM3B	Develop uniform system for early publication of tentative rulings.	Initiated
GOAL CM4: CASE MANAGEMENT Provide automated access to Court services and information.		
Ongoing Objectives		
CM4A	Implement video conferencing pilot project in at least four divisional offices within the District.	Completed
CM4B	Implement an electronic files system within the Court to make documents available on-line to all interested parties.	Ongoing
CM4C	Review and determine the feasibility and desirability of accepting filings by fax.	
CM4D	Develop and implement an automated system to provide case information.	Completed
CM4E	Develop and implement an automated system to provide calendar information and self-scheduling capability.	Ongoing
CM4F	Develop an on-line universal forms catalog.	Completed
CM4G	Develop a cross-referenced topical index system for Court committee and Board of Judges discussions and actions to track issues, decisions, and implementation.	
GOAL CM5: CASE MANAGEMENT Make the Court rules more user friendly.		
Ongoing Objectives		
CM5A	Revise, simplify, and renumber the Local Rules. Coordinate with the District, Circuit, and National Advisory Committee on Bankruptcy Rules projects regarding local rule organizational structure.	Completed

COMMUNITY RELATIONS

GOAL/OBJECTIVE - LONG RANGE PLAN		ACCOMPLISHED DURING 1998
GOAL CR1: COMMUNITY RELATIONS Recognize and serve the needs of our demographically diverse community.		
Short Term Objectives		
CR1A	Establish relationship with minority and culturally diverse bar organizations.	Ongoing
CR1B	Make frequently-used informational documents available in multiple languages.	Ongoing
Long Term Objectives		
CR1C	Determine information needs of community via surveys, focus groups, and interviews.	Ongoing
Ongoing Objectives		
CR1D	Make translation services available, as feasible.	
GOAL CR2: COMMUNITY RELATIONS Improve communications with the public.		
Ongoing Objectives		
CR2A	Initiate periodic, outside input on Court operations.	

GOAL CR3: COMMUNITY RELATIONS Develop public education program.		
Short Term Objectives		
CR3A	Conduct evaluation of public education needs concerning bankruptcy related issues and recommend solutions.	
Long Term Objectives		
CR3B	Establish regular communication with and provide appropriate bankruptcy-related educational materials and programs to community groups and educational institutions.	Ongoing
Ongoing Objectives		
CR3C	Explore opportunities and make available Court representatives to participate in the education of the public concerning issues related to bankruptcy.	Ongoing
CR3D	Initiate and maintain a regular liaison with local members of Congress.	Ongoing
GOAL CR4: COMMUNITY RELATIONS Make all Court procedures/processes accessible to all users of the Court.		
Long Term Objectives		
CR4A	Create and staff an ombudsperson position in each division to assist the public with legal or procedural questions that the Clerk and his staff are prohibited from answering.	
CR4B	Establish a <i>pro bono</i> program at each divisional office location.	Ongoing

HUMAN RESOURCES

GOAL/OBJECTIVE - LONG RANGE PLAN		ACCOMPLISHED DURING 1998
GOAL HR1: HUMAN RESOURCES Attract and retain a fully competent, well-trained, and highly motivated employee force.		
Long Term Objectives		
HR1A	Establish accurate, specific, uniform, and comprehensive job descriptions and recruitment bulletins.	Initiated
HR1B	Develop training programs to instill problem-solving orientation.	Ongoing
HR1C	Develop and implement an on-line training system covering all automated system applications used by the Court.	
HR1D	Create a training program for all employees regarding the <u>Code of Conduct for United States Court Clerks</u> .	
Ongoing Objectives		
HR1E	Develop in-house training programs to prepare employees for broader technical, analytical, and managerial responsibilities.	Ongoing
HR1F	Continue the development of training programs to further develop employee job skills.	Ongoing
HR1G	Increase training and development of leadership skills at <u>all</u> levels.	Ongoing
HR1H	Increase training to develop written communication skills at all levels.	Ongoing
HR1I	Train employees to recognize and effectively deal with cultural diversity.	Ongoing
HR1J	Train employees on providing helpful and courteous service.	Ongoing
HR1K	Provide increased staff education about importance and role of bankruptcy system in general economy and legal system, tying that education to importance of job performance for real-life concerns of users.	Ongoing

GOAL HR2: HUMAN RESOURCES Improve performance and productivity efforts.		
Long Term Objectives		
HR2A	Improve the performance evaluation process.	Ongoing
HR2B	Establish performance standards.	Initiated
HR2C	Develop procedure manual for each position as training tool to encourage uniformity and facilitate establishing performance standards.	Ongoing
HR2D	Establish consistent performance expectations and measurements for all positions.	Ongoing
HR2E	Establish job performance self-evaluation as part of performance review process.	Ongoing
Ongoing Objectives		
HR2F	Monitor and support the transition to automation.	Ongoing
HR2G	Develop and implement a program to enhance employee job satisfaction.	Ongoing
GOAL HR3: HUMAN RESOURCES Improve employee communications and relations.		
Short Term Objectives		
HR3A	Create employee feedback mechanisms.	Ongoing
Long Term Objectives		
HR3B	Clarify role definition for chambers and courtroom staff, including Courtroom Deputies, Judicial Assistants, Law Clerks, Electronic Court Recording Operators, and Relief Courtroom Deputies.	
HR3C	Develop and implement employee orientation program for Clerk's Office and Chambers staff.	Ongoing
Ongoing Objectives		
HR3D	Improve upward and downward communications among divisions and between divisional offices.	Ongoing

GOAL HR4: HUMAN RESOURCES Provide equal employment opportunity, and maintain an employee force that reflects the diverse population we serve.		
Short Term Objectives		
HR4A	Provide multilingual service capability (e.g., bilingual staff).	Ongoing
Ongoing Objectives		
HR4B	Improve human resource programs that ensure parity between the employee force and the labor force.	Ongoing
GOAL HR5: HUMAN RESOURCES Update human resource practices.		
Short Term Objectives		
HR5A	Compare current personnel practices to personnel practices of other organizations and identify possible improvements in each practice.	Ongoing

SPACE AND FACILITIES

GOAL/OBJECTIVE - LONG RANGE PLAN		ACCOMPLISHED DURING 1998
GOAL SF1: SPACE AND FACILITIES Make facilities more accessible to users.		
SF1A	Establish automated information systems in Court lobbies for tentative rulings and Court calendar information.	Ongoing
SF1B	Establish <i>pro bono</i> lawyer consultation rooms in Court intake offices.	
SF1C	Factor technology needs of public users into the development of facilities (for example, space for portable terminals, copiers).	Ongoing
GOAL SF2: SPACE AND FACILITIES Increase effectiveness of long-range planning efforts for space and facilities.		
SF2A	Advocate revision of <u>A.O. Design Guides</u> , and <u>GSA Standards & Guidelines</u> regarding employee break rooms and restrooms, size of courtrooms, public space areas for high volume Courts, <i>pro bono</i> lawyer consultation facilities, and handicapped access (including hearing and visually impaired).	Ongoing
SF2B	Develop procedures to create a security system that protects Court documents and property.	Ongoing

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Exhibit 1

Bankruptcy Filings Central District of California: 1980 - 1998

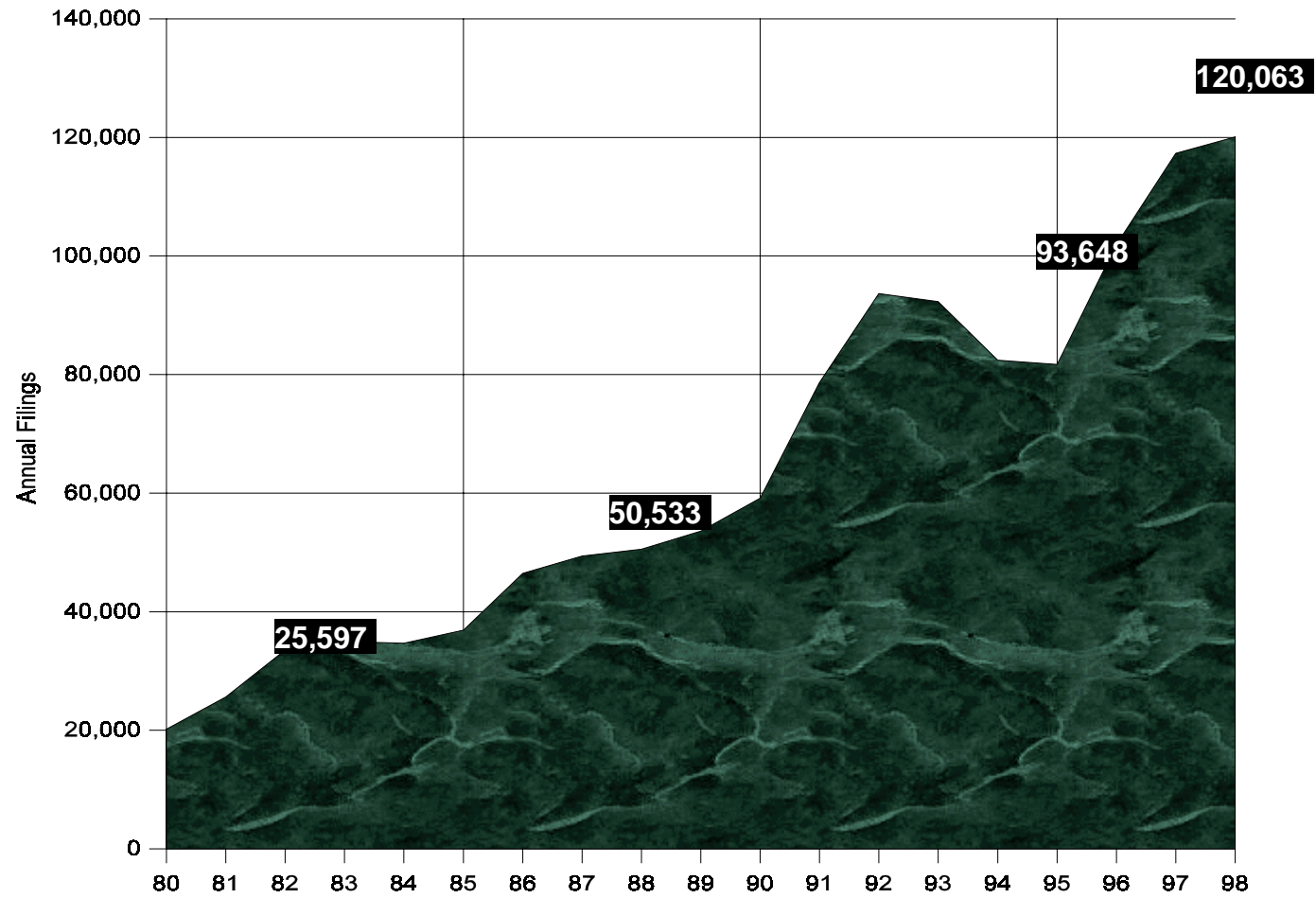


Exhibit 2

Bankruptcy Filings By Month: 1994 - 1998 Central District of California

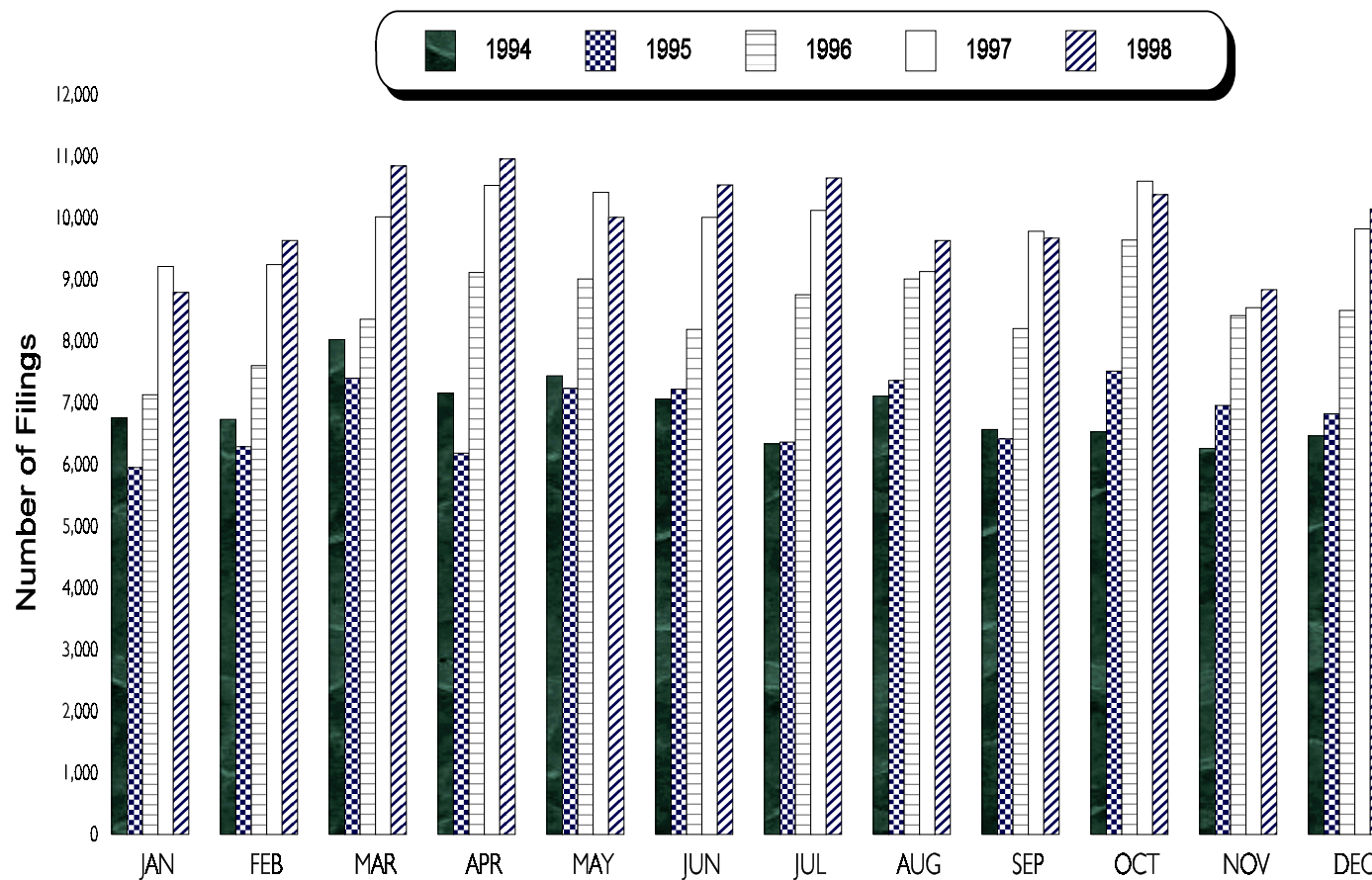


Exhibit 3

Bankruptcy Filings Change from the Same Month, Previous Year: 1994-1998 Central District of California

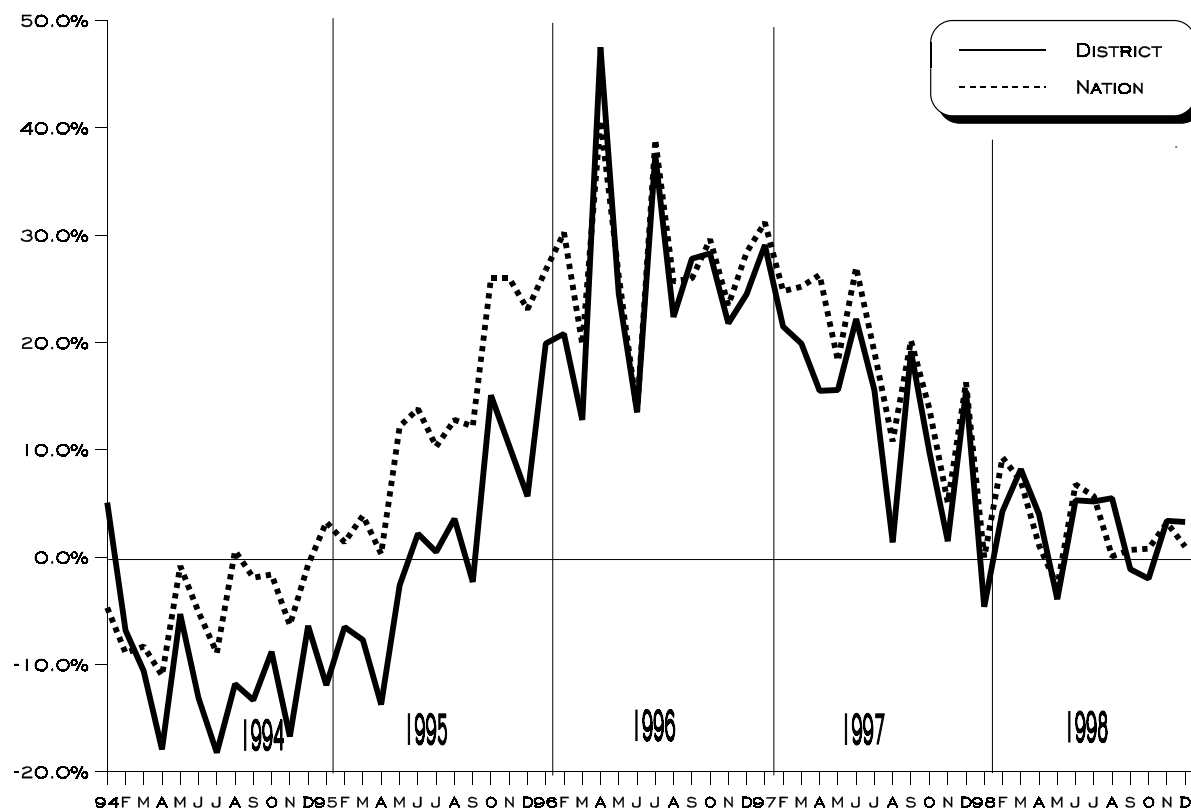


Exhibit 4

Filings By Chapter Central District of California: 1980 - 1998

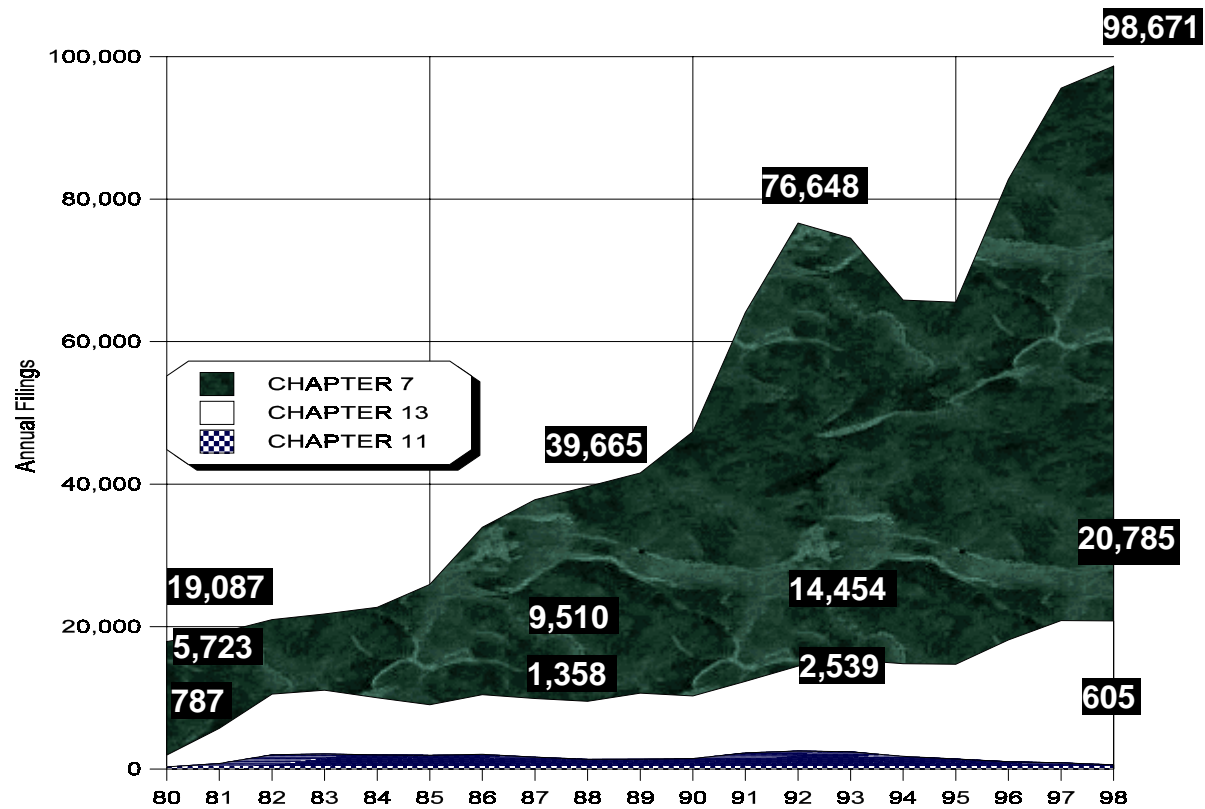
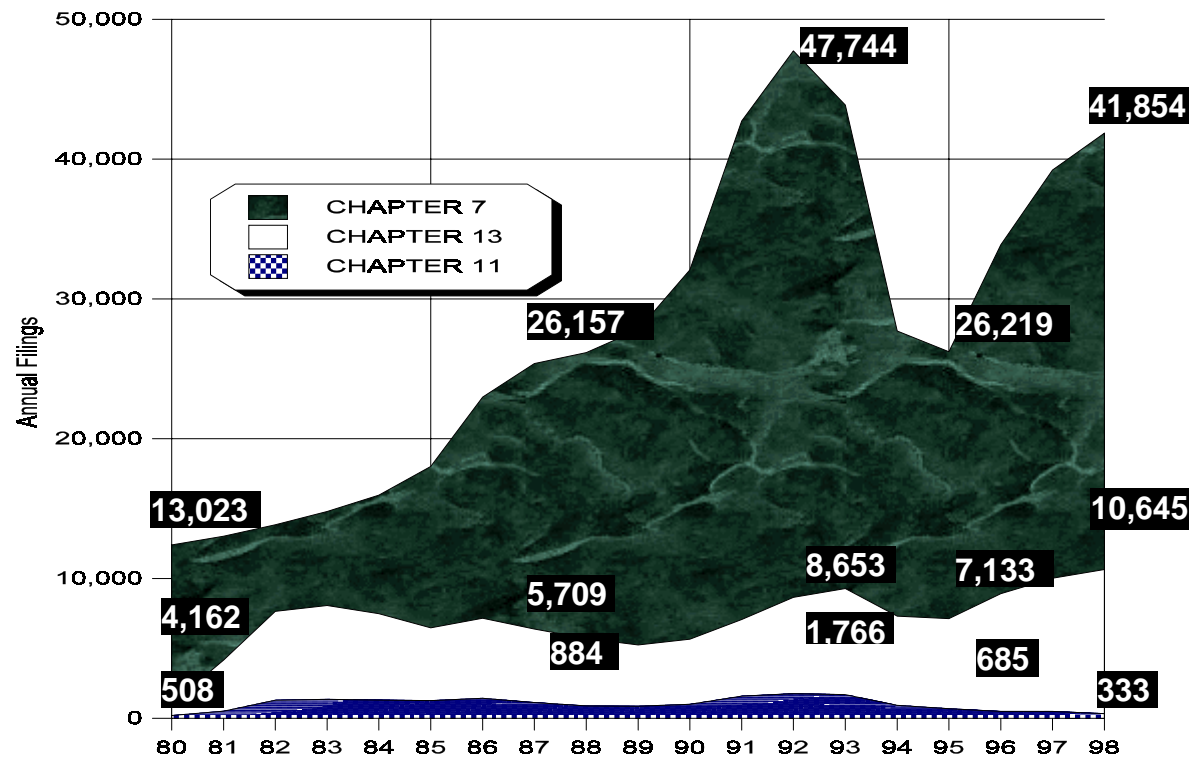


Exhibit 5

Filings By Chapter Los Angeles Division: 1980 - 1998

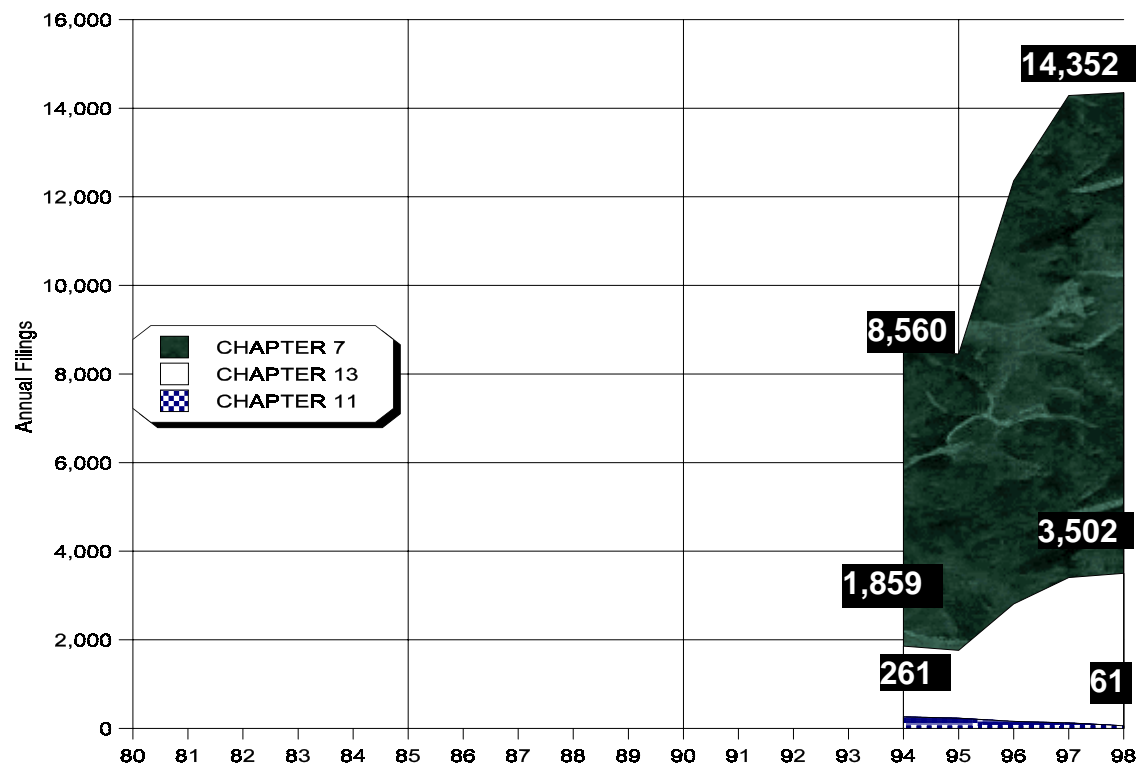


* The drop in filings from 1992 to 1993 reflects the extraction of the Northern Division, from the Los Angeles Division.

** The drop in filings from 1993 to 1994 reflects the extraction of the San Fernando Valley Division, from the Los Angeles Division.

Exhibit 6

Filings By Chapter San Fernando Valley Division: 1980 - 1998

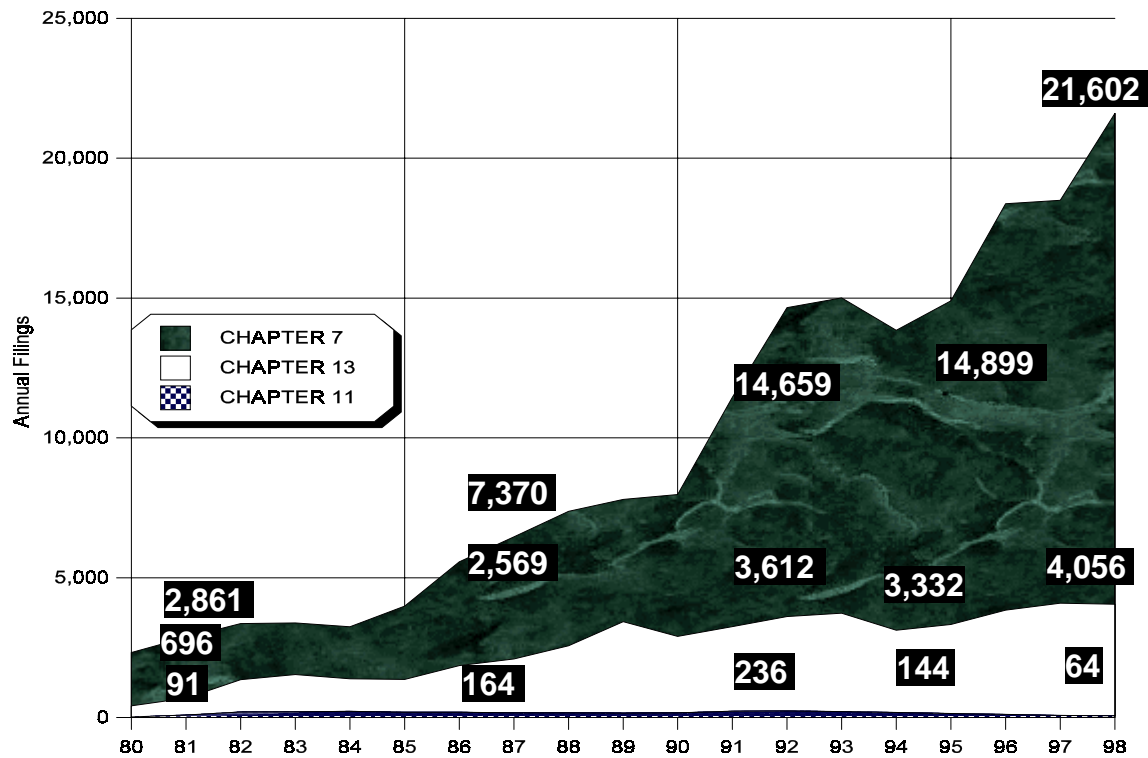


* Prior to 1994, please see Exhibit 5.

Exhibit 7

Filings By Chapter

*Riverside Division: 1980 - 1998

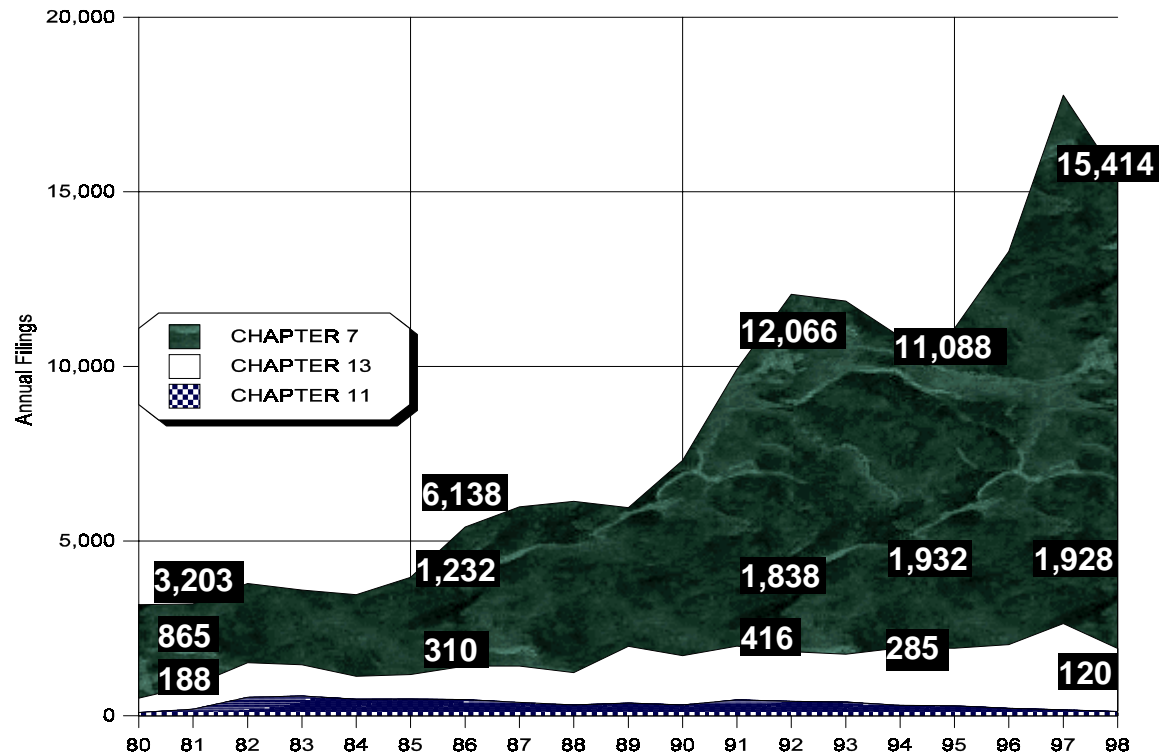


* In March 1997, 12 zip codes were reassigned from the Riverside Division to the Santa Ana Division. In April 1998, the 12 zip codes were returned to the Riverside Division.

Exhibit 8

Filings By Chapter

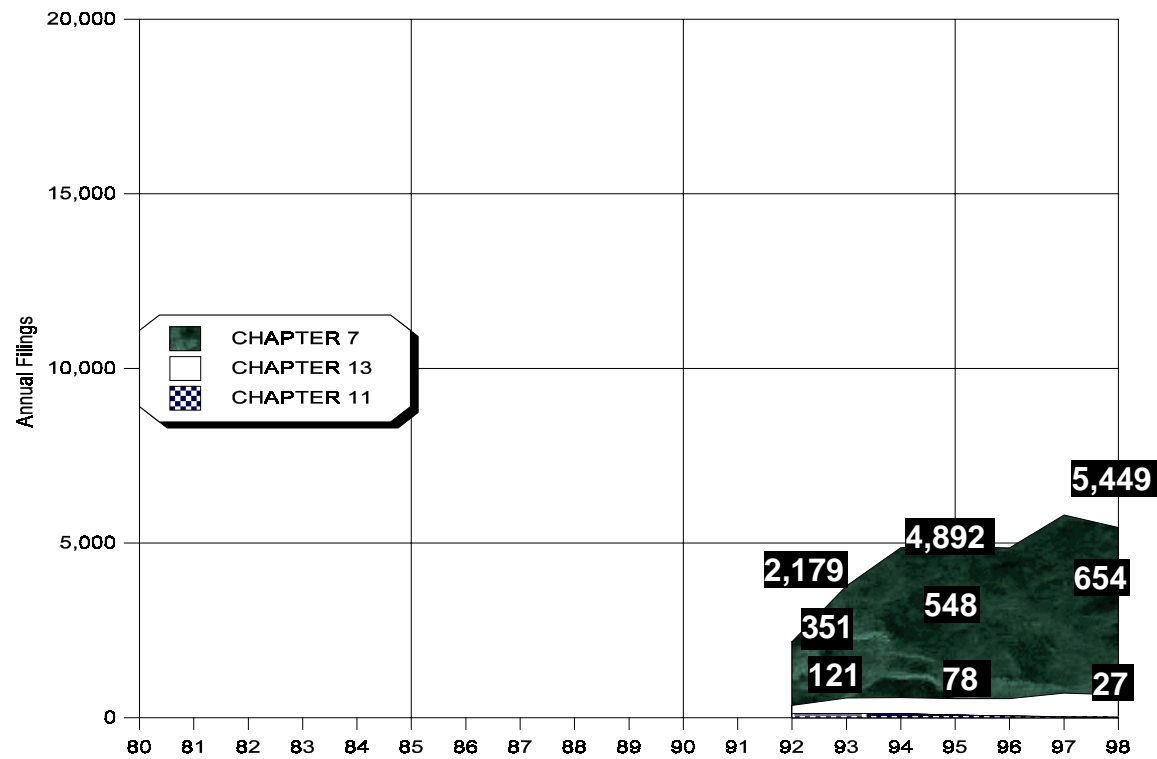
*Santa Ana Division: 1980 - 1998



* In March 1997, 12 zip codes were reassigned from the Riverside Division to the Santa Ana Division. In April 1998, the 12 zip codes were returned to the Riverside Division.

Exhibit 9

Filings By Chapter Northern Division: 1980 - 1998



* Division opened in June 1992, prior filings were in the Los Angeles Division.

Exhibit 10

Bankruptcy Filings and Percentage Change: 1980-1998

Year	Ch 7	%Chg	Ch11	%Chg	Ch13	%Chg	Total	%Chg
CENTRAL DISTRICT OF CALIFORNIA								
1980	17,905	N/A	317	N/A	1,962	N/A	20,184	N/A
1981	19,087	6.6%	787	148.3%	5,723	191.7%	25,597	26.8%
1982	20,985	9.9%	2,022	156.9%	10,528	84.0%	33,535	31.0%
1983	21,777	3.8%	2,128	5.2%	11,074	5.2%	34,979	4.3%
1984	22,669	4.1%	2,003	-5.9%	10,001	-9.7%	34,673	-0.9%
1985	25,927	14.4%	1,937	-3.3%	9,018	-9.8%	36,882	6.4%
1986	33,943	30.9%	2,082	7.5%	10,445	15.8%	46,470	26.0%
1987	37,817	11.4%	1,675	-19.5%	9,903	-5.2%	49,395	6.3%
1988	39,665	4.9%	1,358	-18.9%	9,510	-4.0%	50,533	2.3%
1989	41,556	4.8%	1,391	2.4%	10,662	12.1%	53,609	6.1%
1990	47,370	14.0%	1,478	6.3%	10,281	-3.6%	59,129	10.3%
1991	64,090	35.3%	2,268	53.5%	12,305	19.7%	78,663	33.0%
1992	76,648	19.6%	2,539	11.9%	14,454	17.5%	93,641	19.0%
1993	74,528	-2.8%	2,421	-4.6%	15,343	6.2%	92,292	-1.4%
1994	65,828	-11.7%	1,792	-26.0%	14,808	-3.5%	82,428	-10.7%
1995	65,547	-0.4%	1,423	-20.6%	14,707	-0.7%	81,677	-0.9%
1996	82,760	26.3%	1,026	-27.9%	18,144	23.4%	101,930	24.8%
1997	95,572	15.5%	886	-13.6%	20,860	15.0%	117,318	15.1%
1998	98,671	3.2%	605	-31.7%	20,785	-0.4%	120,061	2.3%
LOS ANGELES DIVISION								
1980	12,402	N/A	202	N/A	1,040	N/A	13,644	N/A
1981	13,023	5.0%	508	151.5%	4,162	300.2%	17,693	29.7%
1982	13,838	6.3%	1,291	154.1%	7,655	83.9%	22,784	28.8%
1983	14,795	6.9%	1,361	5.4%	8,074	5.5%	24,230	6.3%
1984	15,957	7.9%	1,309	-3.8%	7,484	-7.3%	24,750	2.1%
1985	18,018	12.9%	1,263	-3.5%	6,473	-13.5%	25,754	4.1%
1986	22,974	27.5%	1,426	12.9%	7,164	10.7%	31,564	22.6%
1987	25,374	10.4%	1,125	-21.1%	6,392	-10.8%	32,891	4.2%
1988	26,157	3.1%	884	-21.4%	5,709	-10.7%	32,750	-0.4%
1989	27,797	6.3%	867	-1.9%	5,247	-8.1%	33,911	3.5%
1990	32,078	15.4%	1,005	15.9%	5,659	7.9%	38,742	14.2%
1991	42,723	33.2%	1,583	57.5%	7,063	24.8%	51,369	32.6%
1992	47,744	11.8%	1,766	11.6%	8,653	22.5%	58,163	13.2%
1993	43,875	-8.1%	1,693	-4.1%	9,281	7.3%	54,849	-5.7%
1994	27,701	-36.9%	930	-45.1%	7,308	-21.3%	35,939	-34.5%
1995	26,219	-5.4%	685	-26.3%	7,133	-2.4%	34,037	-5.3%
1996	33,873	29.2%	493	-28.0%	8,917	25.0%	43,283	27.2%
1997	39,217	15.8%	486	-1.4%	10,018	12.3%	49,721	14.9%
1998	41,854	6.7%	333	-31.5%	10,645	6.3%	52,832	6.3%
SAN FERNANDO VALLEY DIVISION								
1994	8,560	N/A	261	N/A	1,859	N/A	10,680	N/A
1995	8,449	-1.3%	231	-11.5%	1,762	-5.2%	10,442	-2.2%
1996	12,360	46.3%	159	-31.2%	2,808	59.4%	15,327	46.8%
1997	14,287	15.6%	123	-22.6%	3,407	21.3%	17,817	16.2%
1998	14,352	0.5%	61	-50.4%	3,502	2.8%	17,915	0.6%

Bankruptcy Filings and Percentage Change: 1980-1998

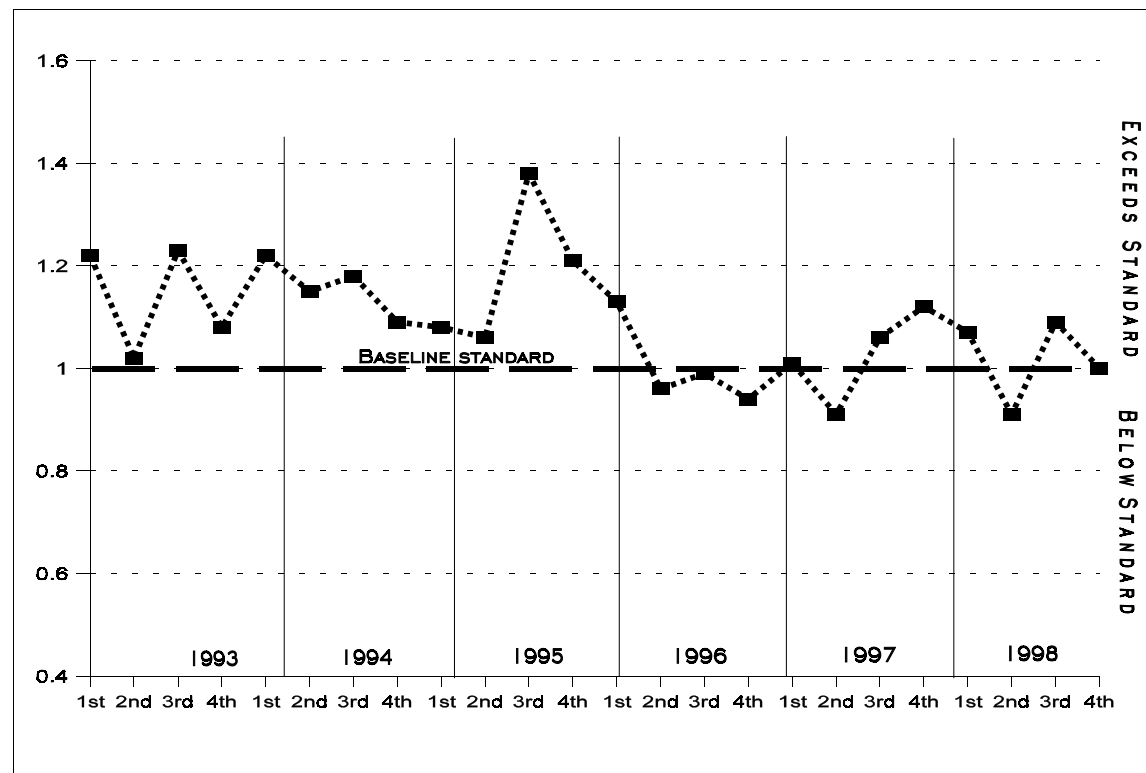
(Continued)

Year	Ch 7	%Chg	Ch11	%Chg	Ch13	%Chg	Total	%Chg
RIVERSIDE DIVISION								
1980	2,322	N/A	25	N/A	417	N/A	2,764	N/A
1981	2,861	23.2%	91	264.0%	696	66.9%	3,648	32.0%
1982	3,361	17.5%	200	119.8%	1,354	94.5%	4,915	34.7%
1983	3,382	0.6%	202	1.0%	1,540	13.7%	5,124	4.3%
1984	3,248	-4.0%	220	8.9%	1,384	-10.1%	4,852	-5.3%
1985	3,983	22.6%	194	-11.8%	1,363	-1.5%	5,540	14.2%
1986	5,566	39.7%	194	0.0%	1,860	36.5%	7,620	37.5%
1987	6,463	16.1%	166	-14.4%	2,091	12.4%	8,720	14.4%
1988	7,370	14.0%	164	-1.2%	2,569	22.9%	10,103	15.9%
1989	7,802	5.9%	162	-1.2%	3,428	33.4%	11,392	12.8%
1990	7,978	2.3%	164	1.2%	2,903	-15.3%	11,045	-3.0%
1991	11,449	43.5%	228	39.0%	3,249	11.9%	14,926	35.1%
1992	14,659	28.0%	236	3.5%	3,612	11.2%	18,507	24.0%
1993	15,003	2.3%	213	-9.7%	3,734	3.4%	18,950	2.4%
1994	13,846	-7.7%	185	-13.1%	3,123	-16.4%	17,154	-9.5%
1995	14,899	7.6%	144	-22.2%	3,332	6.7%	18,375	7.1%
1996	18,374	23.3%	114	-20.8%	3,836	15.1%	22,324	21.5%
1997*	18,492	0.66%	76	-33.3%	4,089	6.66%	22,657	1.5%
1998	21,602	16.8%	64	-15.8%	4,056	-0.8%	25,722	13.5%
SANTA ANA DIVISION								
1980	3,181	N/A	90	N/A	505	N/A	3,776	N/A
1981	3,203	0.7%	188	108.9%	865	71.3%	4,256	12.7%
1982	3,786	18.2%	531	182.4%	1,519	75.6%	5,836	37.1%
1983	3,600	-4.9%	565	6.4%	1,460	-3.9%	5,625	-3.6%
1984	3,464	-3.8%	474	-16.1%	1,133	-22.4%	5,071	-9.8%
1985	3,926	13.3%	480	1.3%	1,182	4.3%	5,588	10.2%
1986	5,403	37.6%	462	-3.8%	1,421	20.2%	7,286	30.4%
1987	5,980	10.7%	384	-16.9%	1,420	-0.1%	7,784	6.8%
1988	6,138	2.6%	310	-19.3%	1,232	-13.2%	7,680	-1.3%
1989	5,957	-2.9%	362	16.8%	1,987	61.3%	8,306	8.2%
1990	7,314	22.8%	309	-14.6%	1,719	-13.5%	9,342	12.5%
1991	9,918	35.6%	457	47.9%	1,993	15.9%	12,368	32.4%
1992	12,066	21.7%	416	-9.0%	1,838	-7.8%	14,320	15.8%
1993	11,874	-1.6%	393	-5.5%	1,762	-4.1%	14,029	-1.4%
1994	10,851	-8.6%	300	-23.7%	1,943	10.3%	13,094	-6.7%
1995	11,088	2.2%	285	-5.0%	1,932	-0.6%	13,305	1.6%
1996	13,292	19.9%	213	-25.3%	2,034	5.3%	15,539	16.8%
1997*	17,769	33.7%	168	-21.1%	2,641	29.8%	20,578	32.4%
1998	15,414	-13.3%	120	-28.6%	1,928	-27.0%	17,462	-15.1%
NORTHERN DIVISION								
1992	2,179	N/A	121	N/A	351	N/A	2,651	N/A
1993	3,776	73.3%	122	0.8%	566	61.3%	4,464	68.4%
1994	4,870	29.0%	116	-4.9%	575	1.6%	5,561	24.6%
1995	4,892	0.5%	78	-32.8%	548	-4.7%	5,518	-0.8%
1996	4,861	-0.6%	47	-39.7%	549	0.2%	5,457	-1.1%
1997	5,807	19.5%	33	-29.8%	705	28.4%	6,545	19.9%
1998	5,449	-6.2%	27	-18.2%	654	-7.2%	6,130	-6.3%

* In March 1997, 12 zip codes were reassigned from the Riverside Division to the Santa Ana Division. In April 1998, 12 zip codes were returned to the Riverside Division.

Exhibit 11

Quarterly Closing Performance Chapter 7 Cases: 1993 - 1998



*Graph represents the ratio of case closed to case opened, adjusted for a 2 quarter closing lag.

Exhibit 12

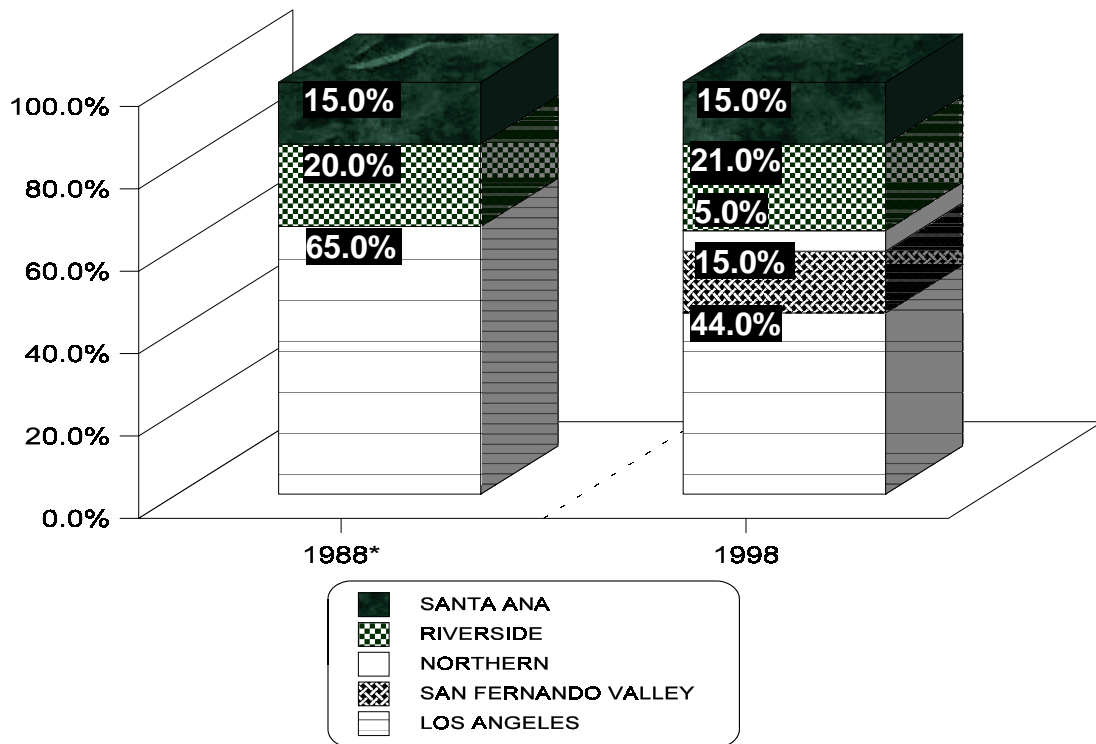
Comparison of Cases Filed and Cases Closed: 1998 Central District of California

Chapter	Total Filed	Total Closed	Difference	Ratio
DISTRICT				
07	98,671	104,066	5,395	1.05
11	605	945	340	1.56
12	2	3	1	1.50
13	20,785	16,855	-3,930	0.81
Total	120,063	121,871*	1,808	1.02
LOS ANGELES DIVISION				
07	41,854	43,594	1,740	1.04
11	333	415	82	1.25
12	1	1	0	1.00
13	10,645	7,525	-3,120	0.71
Total	52,833	51,535	-1,298	0.98
RIVERSIDE DIVISION				
07	21,602	20,323	-1,279	0.94
11	64	66	2	1.03
12	0	2	2	n/a
13	4,056	3,976	-80	0.98
Total	25,722	24,367	-1,355	0.95
SANTA ANA DIVISION				
07	15,414	18,607	3,193	1.21
11	120	233	113	1.94
12	0	0	0	n/a
13	1,928	2,049	121	1.06
Total	17,462	20,890	3,428	1.20
NORTHERN DIVISION				
07	5,449	6,294	845	1.16
11	27	44	17	1.63
12	1	0	1	n/a
13	654	652	-2	1.00
Total	6,131	6,991*	860	1.14
SAN FERNANDO VALLEY DIVISION				
07	14,352	15,248	896	1.06
11	61	187	126	3.07
12	0	0	0	n/a
13	3,502	2,653	-849	0.76
Total	17,915	18,088	173	1.01

* Includes closed Chapter 9 cases (1 by Santa Ana Division/1 by Northern Division)* Note: the San Fernando Valley and Northern Divisions did not exist in 1988 and their volume was included in the Los Angeles Division

Exhibit 13

Percent of District's Filings By Division 1988 vs. 1998



* Note: the San Fernando Valley and Northern Divisions did not exist in 1988 and their volume was included in the Los Angeles Division.

Exhibit 14

CENTRAL DISTRICT OF CALIFORNIA Comparison of Bankruptcy Filings 1997 vs. 1998

Chapter	1997	1998	%
DISTRICT			
07	95,572	98,671	3.2%
11	886	605	-31.7
13	20,860	20,785	-0.4%
Total	117,318	120,061	2.3%

LOS ANGELES DIVISION			
07	39,217	41,854	6.7%
11	486	333	-31.5
13	10,018	10,645	6.3%
Total	49,721	52,832	6.3%

RIVERSIDE DIVISION*			
07	18,492	21,602	16.8%
11	76	64	-15.8
13	4,089	4,056	-0.8%
Total	22,657	25,722	13.5%

SANTA ANA DIVISION*			
07	17,769	15,414	-13.3
11	168	120	-28.6
13	2,641	1,928	-27.0
Total	20,578	17,462	-15.1

NORTHERN DIVISION			
07	5,807	5,449	-6.2%
11	33	27	-18.2
13	705	654	-7.2%
Total	6,545	6,130	-6.3%

SAN FERNANDO VALLEY DIVISION			
07	14,287	14,352	0.5%
11	123	61	-50.4
13	3,407	3,502	2.8%
Total	17,817	17,915	0.6%

Exhibit 15

CENTRAL DISTRICT OF CALIFORNIA Comparison of Bankruptcy Closings 1997 vs. 1998

Chapter	1997	1998	%
DISTRICT			
07	101,385	104,066	2.6%
11	1,077	945	-12.3
13	18,143	16,855	-7.1%
Total	120,605	121,866	1.0%

LOS ANGELES DIVISION			
07	42,251	43,594	3.2%
11	554	415	-25.1
13	9,503	7,525	-20.8
Total	52,308	51,534	-1.5%

RIVERSIDE DIVISION			
07	20,347	20,323	-0.1%
11	99	66	-33.3
13	3,401	3,976	16.9%
Total	23,847	24,365	2.2%

SANTA ANA DIVISION			
07	17,786	18,607	4.6%
11	221	233	5.4%
13	1,943	2,049	5.5%
Total	19,950	20,889	4.7%

NORTHERN DIVISION			
07	5,298	6,294	18.8%
11	42	44	4.8%
13	477	652	36.7%
Total	5,817	6,990	20.2%

SAN FERNANDO VALLEY DIVISION			
07	15,703	15,248	-2.9%
11	161	187	16.1%
13	2,819	2,653	-5.9%
Total	18,683	18,088	-3.2%

* In March 1997, 12 zip codes were reassigned from the Riverside Division to the Santa Ana Division and returned in April 1998.

Exhibit 16

Comparison of Adversaries Filed and Adversaries Closed: 1994-1998 Central District of California

Year	Filed	% chg	Closed	% chg	Ratio (Closings/Filings)
DISTRICT					
1994	11,321	16.6%	9,666	21.3%	1.28
1995	8,249	9.2%	13,277	37.4%	1.61
1996	6,595	-20.1%	10,665	-19.7%	1.62
1997	7,022	6.5%	7,841	-26.5%	1.12
1998	5,920	-15.7%	7,804	-.5%	1.32
LOS ANGELES DIVISION					
1994	2,608	-29.8%	4,683	-6.5%	1.80
1995	4,881	87.2%	6,752	44.2%	1.38
1996	2,995	-38.6%	6,434	-4.7%	2.15
1997	3,032	1.2%	3,729	-42.0%	1.23
1998	2,826	-6.8%	3,781	1.4%	1.34
RIVERSIDE DIVISION*					
1994	1,969	55.7%	1,913	210.1%	0.97
1995	777	-60.5%	1,690	-11.7%	2.18
1996	1,079	38.9%	1,119	-33.8%	1.04
1997	1,010	-6.4%	1,541	37.7%	1.53
1998	842	-16.6%	866	-43.8%	1.03
SANTA ANA DIVISION*					
1994	1,560	25.0%	1,536	-28.4%	0.98
1995	1,452	-6.9%	1,705	11.0%	1.17
1996	1,261	-13.2%	1,530	-10.3%	1.21
1997	1,415	12.2%	1,227	-19.8%	0.87
1998	921	-34.9%	1,439	17.3%	1.56
NORTHERN DIVISION					
1994	303	18.4%	353	77.4%	1.17
1995	400	32.0%	600	70.0%	1.50
1996	385	-3.8%	359	-40.2%	0.93
1997	358	-7.0%	401	11.7%	1.12
1998	333	-7.0%	448	11.7%	1.35
SAN FERNANDO VALLEY DIVISION					
1994	1,117	N/A	1,181	N/A	1.06
1995	739	-33.8%	2,530	114.2%	3.42
1996	878	18.8%	1,223	-51.7%	1.39
1997	1,207	37.5%	943	-22.9%	0.78
1998	998	-17.3%	1,270	34.7%	1.27

* In March 1997, 12 zip codes were reassigned from the Riverside Division to the Santa Ana Division and returned in April 1998.

Exhibit 17

Pending Caseload By Division: 1994-1998 Central District of California								
YR	Ch 7	% Chg	Ch 11	% Chg	Ch 13	% Chg	TOTAL*	% Chg
DISTRICT								
94	44,943	-22.3	4,765	-30.1	23,92	2.5%	73,633	-16.3%
95	40,102	-10.8	3,278	-31.2	19,56	-18.2%	62,945	-14.5%
96	42,645	6.3%	2,167	-33.9	18,92	-3.3%	63,733	1.3%
97	40,286	-5.5%	1,715	-20.9	19,51	3.1%	61,512	-3.5%
98	38,661	-4.0%	1,178	-31.3	21,23	8.8%	61,071	-0.7%
Los Angeles Division								
94	18,554	-52.5	2,356	-54.0	11,21	-17.8%	32,122	-44.5%
95	17,794	-4.1%	1,607	-31.8	9,108	-18.8%	28,509	-11.2%
96	16,147	-9.3%	830	-48.4	8,290	-9.0%	25,267	-11.4%
97	14,782	-8.5%	636	-23.4	7,851	-5.3%	23,269	-7.9%
98	14,680	-0.7%	437	-31.3	9,917	26.3%	25,034	7.6%
Riverside Division								
94	9,344	6.7%	336	0.0%	6,024	-0.4%	15,704	3.7%
95	7,548	-19.2	240	-28.6	4,833	-19.8%	12,621	-19.6%
96	9,286	23.0	184	-23.3	4,970	2.8%	14,440	14.4%
97	8,053	-13.3	124	-32.6	5,206	4.7%	13,383	-7.3%
98	9,936	23.4	109	-12.1	4,862	-6.6%	14,907	11.4%
Santa Ana Division								
94	6,232	-0.6%	785	-10.2	3,015	16.0%	10,032	3.0%
95	6,708	7.6%	678	-13.6	2,765	-8.3%	10,151	1.2%
96	7,662	14.2	579	-14.6	2,773	0.3%	11,014	8.5%
97	8,022	4.7%	470	-18.8	3,178	14.6%	11,670	6.0%
98	5,515	-31.3	332	-29.4	2,801	-11.9%	8,648	-25.9%
Northern Division								
94	2,827	-24.3	303	-37.8	739	-29.5%	3,869	-26.6%
95	2,472	-12.6	206	-32.0	754	2.0%	3,432	-11.3%
96	2,761	11.7	160	-22.3	755	0.1%	3,676	7.1%
97	3,380	22.4	121	-24.4	944	25.0%	4,445	20.9%
98	2,668	-21.1	97	-19.8	862	-8.7%	3,627	-18.4%
San Fernando Valley Division								
94	7,986	n/a	985	n/a	2,935	n/a	11,906	n/a
95	5,580	-30.1	547	-44.5	2,105	-28.3%	8,232	-30.9%
96	6,789	21.7	414	-24.3	2,133	1.3%	9,336	13.4%
97	6,049	-10.9	364	-12.1	2,332	9.3%	8,745	-6.3%
98	5,862	-3.1%	203	-44.2	2,790	19.6%	8,855	1.3%

* Does not include Chapter 9 and 12.

APPENDIX C - CLERK'S OFFICE SENIOR STAFF

For additional information regarding this report or the Bankruptcy Court for the Central District of California, you may contact the senior staff of the Clerk's Office.

Executive Office

Jon D. Ceretto, Executive Officer/Clerk
David M. Grube, Chief Deputy - Administration
Michael E. Rotberg, Chief Deputy - Operations
Victoria McMurray, Assistant Chief Deputy - Operations

Edward R. Roybal Federal Building
255 East Temple Street
Los Angeles, CA 90012
(213) 894-6244

Los Angeles Division

Velma Clayter, Deputy-in-Charge
Edward R. Roybal Federal Building
255 East Temple Street
Los Angeles, CA 90012
(213) 894-6084

Santa Ana Division

Phyllis Presley, Deputy-in-Charge
411 West Fourth Street, Suite 2-209
Santa Ana, CA 92701-4593
(714) 338-5348

Riverside Division

Victoria McMurray, Deputy-in-Charge
3420 Twelfth Street, Room 125
Riverside, CA 92501-3819
(909) 774-1003

Northern Division

Kathleen Crosser, Deputy-in-Charge
1415 State Street
Santa Barbara, CA 93101-2511
(805) 884-4876

San Fernando Valley Division

Paula Roe, Deputy-in-Charge
21041 Burbank Boulevard
Woodland Hills, CA 91367-6603
(818) 587-2855

www.cacb.uscourts.gov